# Neural networks for natural language understanding

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with Chris Potts, Chris Manning

## **Today**

Promising signs that neural network models can learn to handle semantic tasks:

- Sentiment and semantic similarity (e.g., Tai et al. 2015)
- Paraphrase detection (Socher et al. 2011)
- Machine translation (e.g., Sutskever et al. 2014, Bahdanau et al. 2014)

How do these models work?

How well can they handle anything we'd recognize as meaning?

## **Today**

How do these models work?

Survey: Deep learning models for NLU

How well can they handle anything we'd recognize as meaning?

- A measure of success: natural language inference
- Three experiments on artificial data
- Frontiers: What about real language?

#### NNs for sentence meaning

Input: Word vectors bad not that Output: Sentence vectors not that bad that bad not Training: Supervised classification over sentence vectors not that bad not that bad

Prediction: 2/5

#### NNs for sentence meaning

Input: Word vectors

not that bad

Output: Sentence vectors

not that bad

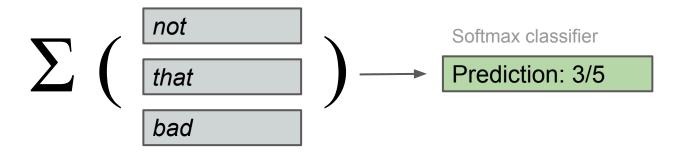
Training: Supervised classification over sentence vectors

not that bad

Prediction: 2/5

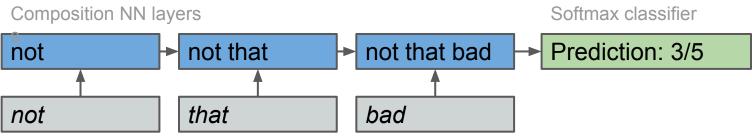
Label: 3/5

#### **Baseline: Sum of words**



- Words and constituents are 25-500d vectors.
- Optimize with SGD
- Gradients from backprop

#### **Recurrent NNs for text**

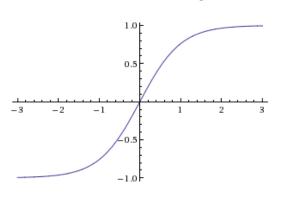


Learned word vectors

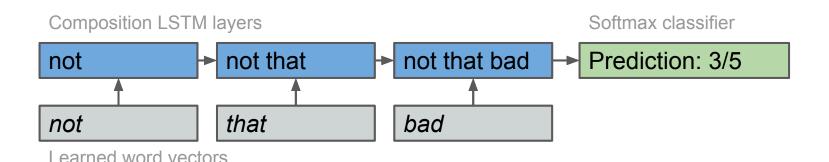
- Words and constituents are 25-500d vectors.
- One learned composition function:

$$y = f(M[x y_{prev}] + b)$$

- Optimize with SGD
- Gradients from backprop (through time)

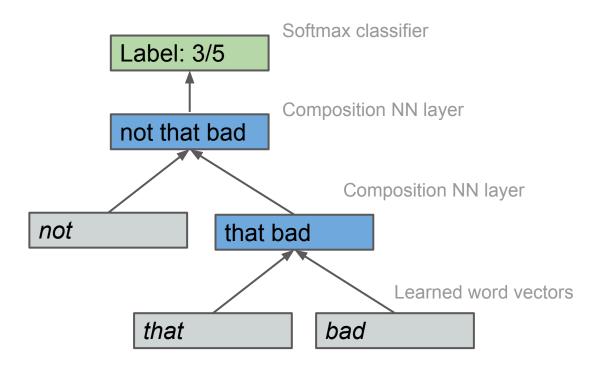


#### **Recurrent NNs for text**



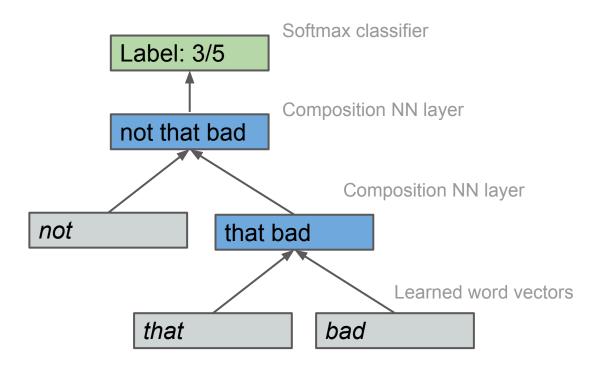
 LSTM (Long Short Term Memory) models are RNNs with a more complex learned activation function meant to do a better job of preserving information across long sequences.

# My focus: TreeRNNs\*



- Sequence of operations follows parse tree
- Different sentence? Different tree structure.

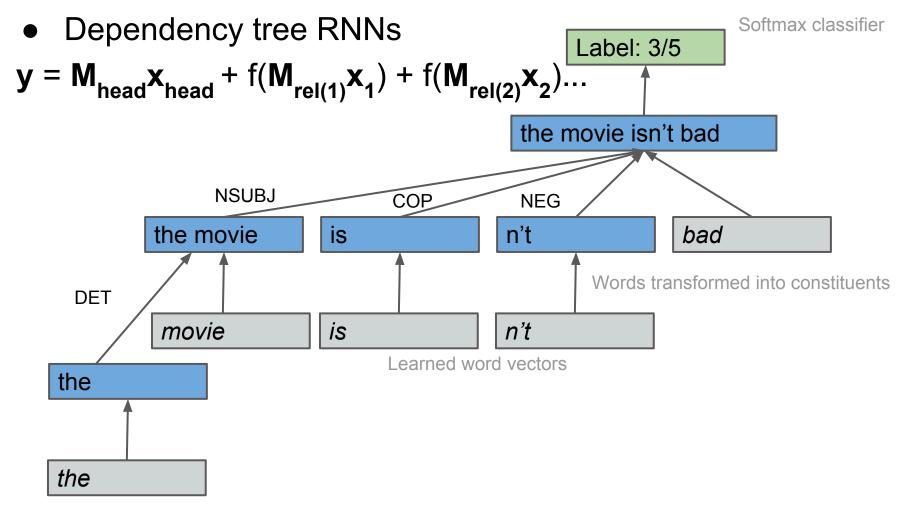
## My focus: TreeRNNs



 Basic TreeRNN uses the same kind of learned function as an RNN:

$$y = f(M[x_1 x_r] + b)$$

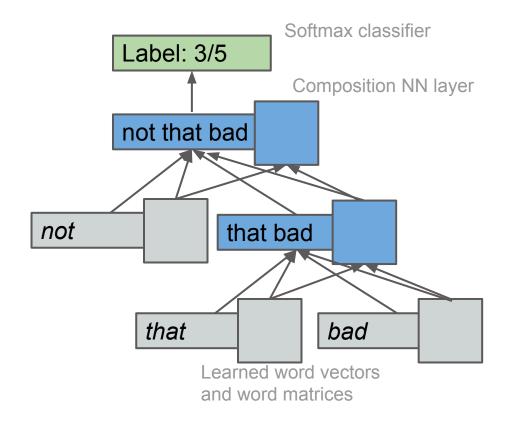
## Variants: Dependency TreeRNNs



#### Variants: Matrix-vector TreeRNN

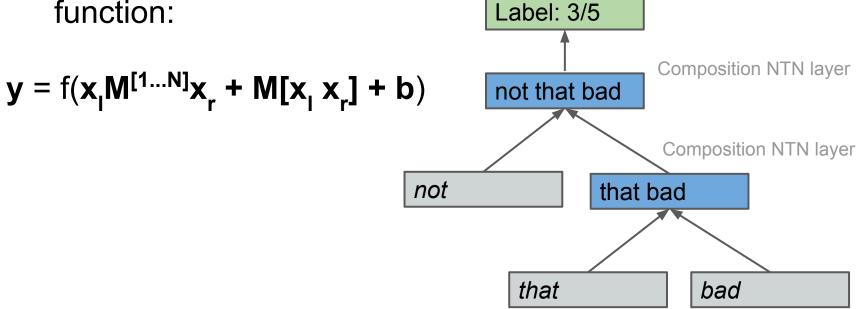
Matrix-vector RNN composition functions:

$$y = f(M_v[Ba Ab])$$
  
 $Y = M_m[A B]$ 



#### Variants: TreeRNTN

 Recursive neural tensor network composition function:



Learned word vectors

Softmax classifier

#### Other NNs for sentence meaning

#### And more:

- Tree autoencoders (Socher et al 2011)
- TreeLSTMs (Tai et al 2015)
- Convolutional NNs for text (Kalchbrenner et al. 2014)
- Character-level convolution (Zhang and LeCun 2015)

...

#### The big question

How well are supervised neural network models able to learn representations of sentence meaning?

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Don't ask what meanings are. Ask what they do, and find something that does that.

-David Lewis, paraphrased

## The task: Natural language inference

James Byron Dean refused to move without blue jeans {entails, contradicts, neither}

James Dean didn't dance without pants

# The task: Natural language inference

Claim: Simple task to define, but engages the full complexity of compositional semantics:

- Lexical entailment
- Quantification
- Coreference
- Lexical/scope ambiguity
- Commonsense knowledge
- Propositional attitudes
- Modality
- Factivity and implicativity

- - -

#### **Lexical relations**

Experimental approach: Train on relational statements generated from some formal system, test on other such relational statements.

#### The model needs to:

Learn the relations between individual words. (lexical relations)

## Formulating a learning problem

#### **Training data:**

dance **entails** move

waltz **neutral** tango

tango **entails** dance

sleep contradicts dance

waltz entails dance

Memorization (training set):

dance ??? move

waltz ??? tango

Generalization (test set):

sleep ??? waltz

tango ??? move

## MacCartney's natural logic

An implementable logic for natural language inference without logical forms. (MacCartney and Manning '09)

Sound logical interpretation (lcard and Moss '13)

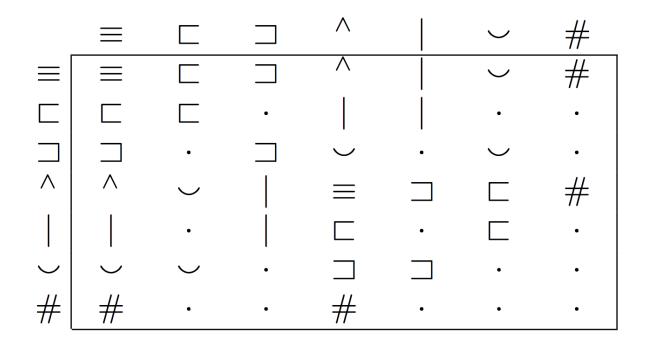
P	James Dean	refused to			move	without	blue	jeans
Н	James Byron Dean		did	n't	dance	without		pants
edit index	ı	2	3	4	5	6	7	8
edit type	SUB	DEL	INS	INS	SUB	MAT	DEL	SUB
lex feats	strsim= 0.67	implic: -/o	cat:aux	cat:neg	hypo			hyper
lex entrel	=	$I_{\gamma}$	=	^	٦	=	_	_
projec- tivity	1	1	1	1	↓ )	1	1	1
atomic entrel	= 1	1 1	= 1	^	С	= 1		

## **Natural logic: relations**

Seven possible relations between phrases/sentences:

		Slide from Bill MacCartney
<i>x</i> ≡ <i>y</i>	equivalence	$couch \equiv sofa$
<i>x</i> □ <i>y</i>	forward entailment (strict)	crow ⊏ bird
<i>x</i> ⊐ <i>y</i>	reverse entailment	European ⊐ French
<i>x</i> ^ <i>y</i>	negation (exhaustive exclusion)	human ^ nonhuman
<i>x</i>   <i>y</i>	alternation (non-exhaustive exclusion)	cat   dog
<i>x</i> ∪ <i>y</i>	COVET (exhaustive non-exclusion)	animal _ nonhuman
<i>x</i> # <i>y</i>	independence	hungry # hippo

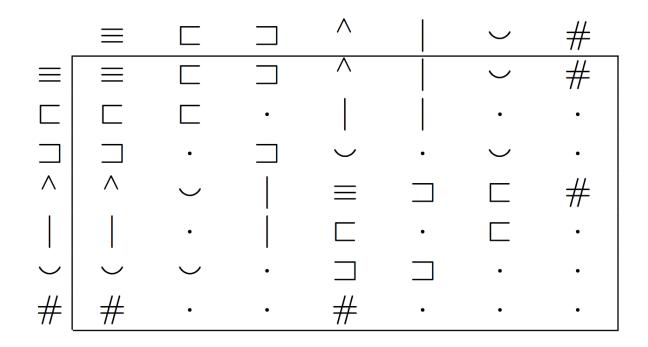
#### Natural logic: relation joins



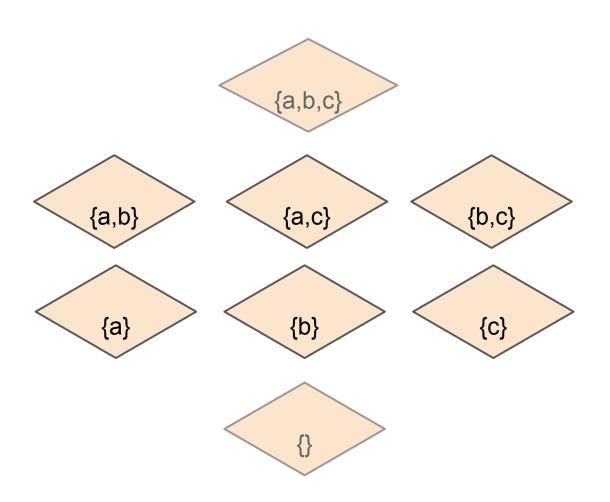
MacCartney's join table:  $a R b \land b R' c \vdash a \{R \bowtie R'\} c$ 

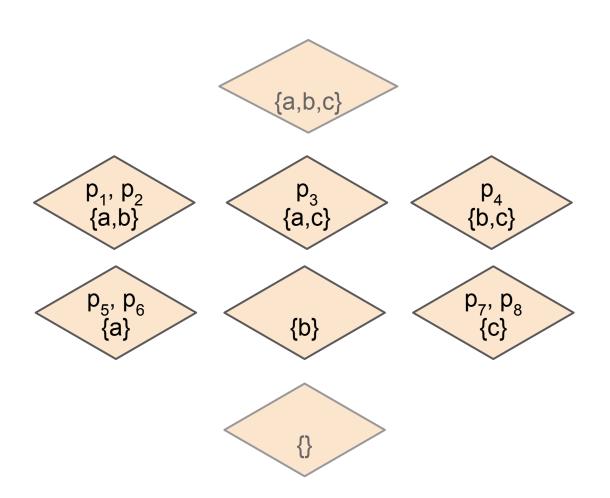
```
{animal □ cat, cat □ kitten} ⊢ animal □ kitten
{cat □ animal, animal ^ non-animal} ⊢ cat | non-animal
```

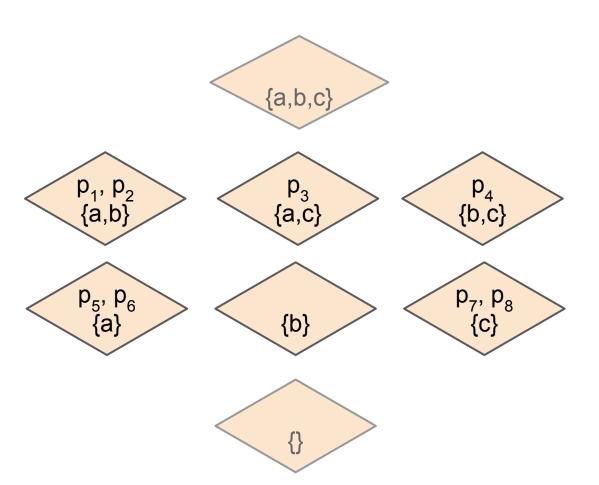
#### Natural logic: relation joins



Can our NNs learn to make these inferences over pairs of embedding vectors?

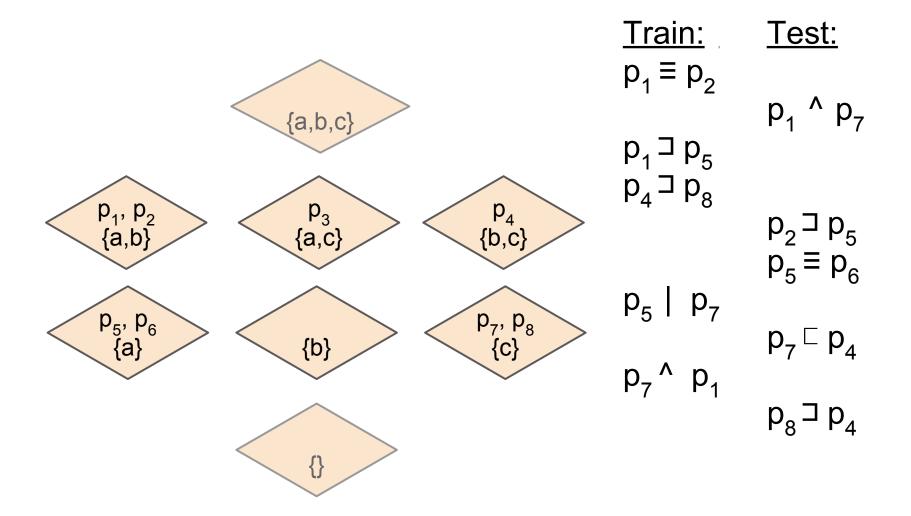


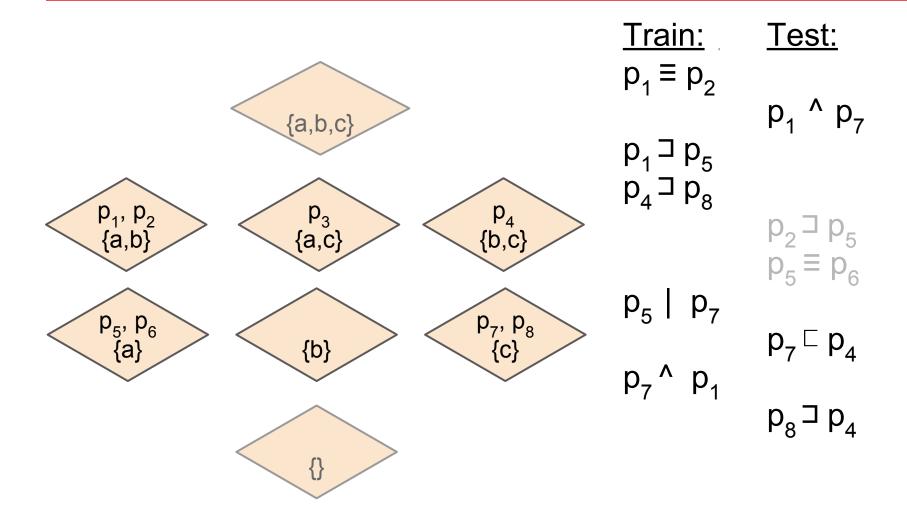




#### **Extracted relations:**

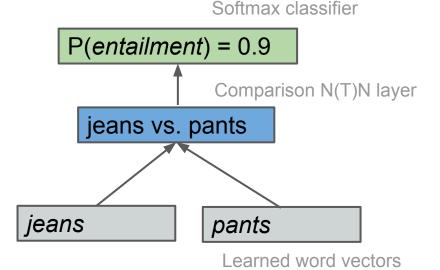
$$\begin{array}{c} p_{1} \equiv p_{2} \\ p_{1} \wedge p_{7} \\ p_{1} \supset p_{5} \\ p_{4} \supset p_{8} \\ p_{2} \supset p_{5} \\ p_{5} \equiv p_{6} \\ p_{5} \mid p_{7} \\ p_{7} \wedge p_{1} \\ p_{8} \supset p_{1} \end{array}$$





#### A minimal NN for lexical relations

- Words are learned embedding vectors.
- One plain TreeRNN or TreeRNTN layer
- Softmax emits relation labels
- Learn everything with SGD.



## Lexical relations: training

- 80 random terms (p<sub>1</sub> p<sub>80</sub>) denoting sets
  - Sampled with replacement from the powerset of the set of 7 entities (a-g)
- 6400 statements, yielding:
  - 3200 training examples
  - about 2900 provable test examples (~7% not provable)

#### Lexical relations: results

	Train	Test	
# only	53.8 (10.5)	53.8 (10.5)	
15d NN	99.8 (99.0)	94.0 (87.0)	
15d NTN	<b>100 (100)</b>	<b>99.6 (95.5)</b>	

- Both models tuned, then trained to convergence on five randomly generated datasets
- Reported figures: % correct (macroaveraged F1)
- Both NNs used 15d embeddings, 75d comparison layer

#### **Lexical relations: Conclusions**

- Success! NTNs can learn lexical entailment networks
  - No special optimization techniques required
  - Good generalization even with small training sets
- Open questions:
  - Geometric theory of lexical relations?
  - Relationship between the number of terms and the number of dimensions in the embedding?

## Recursion in propositional logic

Experimental approach: Train on relational statements generated from some formal system, test on other such relational statements.

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  - This needs to be recursively applicable!

$$a \equiv a$$
,  $a \land (not a)$ ,  $a \equiv (not (not a))$ , ...

## Recursion in propositional logic

Data: randomly generated sentences with and, or, and not

- 6 proposition variables (a-f), at most 4 per example
- Propositions are variables over unknown truth values (2<sup>64</sup> possible representations)
- Train on statements with at most 4 operators, test with more.

Formula	Interpretation
a, $b$ , $c$ , $d$ , $e$ , $fnot \varphi(\varphi and \psi)(\varphi or \psi)$	

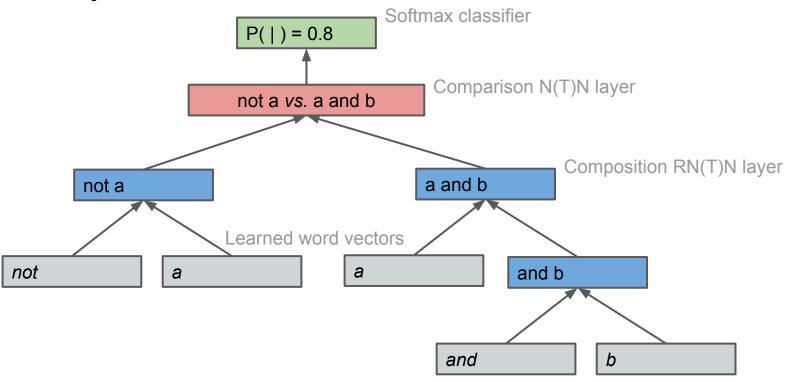
(a) Well-formed formulae.  $\varphi$  and  $\psi$  range over all well-formed formulae, and  $\llbracket \cdot \rrbracket$  is the interpretation function mapping formulae into  $\{\mathsf{T},\mathsf{F}\}$ .

$$\begin{array}{ccc} \operatorname{not} a & ^{\wedge} & a \\ \operatorname{not} \operatorname{not} a & \equiv & a \\ a & \sqsubseteq & (a \operatorname{or} b) \\ a & \sqsupset & (a \operatorname{and} b) \\ \operatorname{not} (\operatorname{not} a \operatorname{and} \operatorname{not} b) & \equiv & (a \operatorname{or} b) \end{array}$$

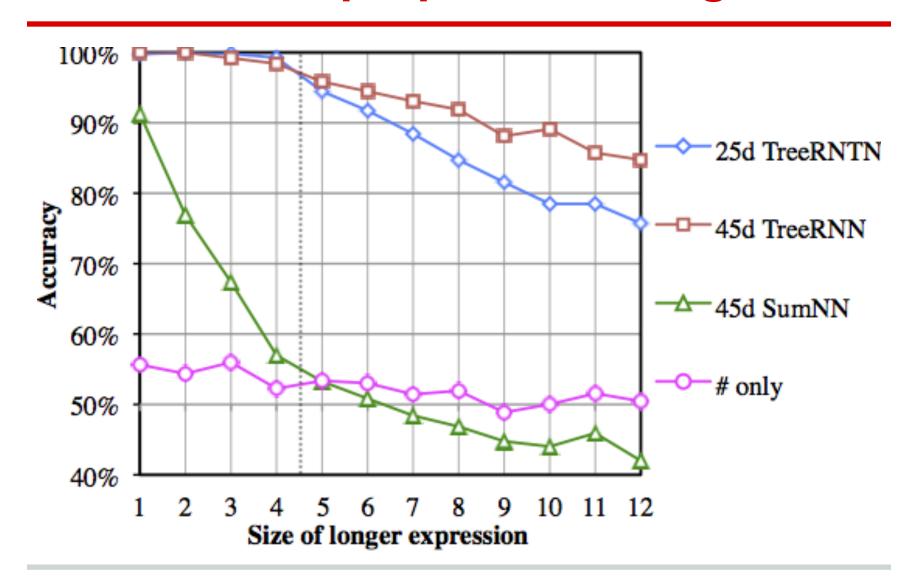
(b) Examples of statements about relations between well-formed formulae, defined in terms of sets of satisfying interpretation functions  $[\cdot]$ .

## **NLI** with TreeRNNs

- Model structure:
  - Two trees, then a separately learned comparison layer, then a classifier:



## Recursion in propositional logic



## **Today**

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Survey: Deep learning models for NLU

How well can they handle anything we'd recognize as meaning?

- A measure of success: natural language inference
- Can NNs learn lexical relations?
- Can TreeRNNs learn recursive functions?
- What about quantification and monotonicity?
- Frontiers: What about real language?

## **Quantifiers**

Experimental paradigm: Train on relational statements generated from some formal system, test on other such relational statements.

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## **Quantifiers**

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### The model needs to:

- Learn the relations between individual words. (lexical relations)
- Learn how lexical relations impact phrasal relations.
   (projectivity)
- Quantifiers present some of the harder cases of both of these.

# **Two experiments**

(most warthogs) walk	^	(not-most warthogs) walk
(most mammals) move	#	(not-most (not turtles)) move
(most (not pets)) (not swim)		(not-most (not pets)) move
(no turtles) (not growl) (no warthogs) swim (no warthogs) move	 	(no turtles) (not swim) (no warthogs) move (no (not reptiles)) swim

## **Quantifiers**

- Small vocabulary
  - Three basic types:
    - Quantifiers: some, all, no, most, two, three, notall, not-most, less-than-two, less-than-three
    - Predicates: dog, cat, mammal, animal ...
    - Negation: not
- 60k examples generated using a generative implementation of the relevant portion of MacCartney and Manning's logic.
- All sentences of the form QPP, with optional negation on each predicate.

# **Quantifier results**

	Train	Test
Most freq. class (# only)	35.4%	35.4%
25d SumNN (sum of words)	96.9%	93.9%
25d TreeRNN	99.6%	99.2%
25d TreeRNTN	100%	99.7%

## **Summary: Artificial data**

- Simple NTNs can encode relation composition accurately.
- Tree structured models can learn recursive functions, and can apply them in structures that are (somewhat) larger than those seen in training.
- Tree structured models can learn to reason with quantifiers.

Do we see these behaviors in textual entailment with real natural language?

## Natural language inference data

- To do NLI on real English, we need to teach an NN model English almost from scratch.
- What data do we have to work with:
  - GloVe/word2vec (useful w/ any data source)
  - SICK: Thousands of examples created by editing and pairing hundreds of sentences.
  - RTE: Hundreds of examples created by hand.
  - DenotationGraph: Millions of extremely noisy examples (~73% correct?) constructed fully automatically.

# Results on SICK (+DG, +tricks) so far

	SICK Train	DG Train	Test
Most freq. class	56.7%	50.0%	56.7%
30 dim TreeRNN	95.4%	67.0%	74.9%
50 dim TreeRNTN	97.8%	74.0%	76.9%

## Are we competitive? Sort of...

Best result (Ulllinois) 84.5%

≈ interannotator agreement!

Median submission (out of 18): 77%

Our TreeRNTN: 76.9%

We're the only purely-learned system in the competition: Everything but the parser is trained from the supplied data.

## Is it realistic to learn from SICK?

A guy is mowing the lawn.

Grass is being mowed by a man.

**ENTAILMENT** 

A guy is mowing the lawn
There is no guy mowing the lawn.
CONTRADICTION

A guy is mowing the lawn
There is no man mowing grass.

CONTRADICTION

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  - Stanford NLI corpus: ~600k examples, written by Turkers.

## The Stanford NLI corpus

#### **Instructions**

The Stanford University NLP Group is collecting data for use in research on computer understanding of English. We appreciate your help!

We will show you the caption for a photo. We will not show you the photo. Using only the caption and what you know about the world:

- Write one alternate caption that is definitely a true description of the photo.
- Write one alternate caption that might be a true description of the photo.
- · Write one alternate caption that is definitely an false description of the photo.

#### Photo caption A little boy in an apron helps his mother cook.

**Definitely correct** Example: For the caption "Two dogs are running through a field." you could write "There are animals outdoors."

Write a sentence that follows from the given caption.

Maybe correct Example: For the caption "Two dogs are running through a field." you could write "Some puppies are running to catch a stick."

Write a sentence which may be true given the caption, and may not be.

**Definitely incorrect** Example: For the caption "Two dogs are running through a field." you could write "The pets are sitting on a couch."

Write a sentence which contradicts the caption.

Problems (optional) If something is wrong with the caption that makes it difficult to understand, do your best above and let us know here.

## Some examples

A young boy rides a bike down a snow covered road.

A child is outside.

**ENTAILMENT** 

2 female babies eating chips.

Two female babies are enjoying chips.

**NEUTRAL** 

A woman in an apron shopping at a market.

A woman in an apron is preparing dinner.

CONTRADICTION

## Results?

### Not much yet:

- Train on SICK + DG, test on SICK: So-so
- Train on SNLI: Stay tuned!

Interested in being one of the first to work on this? The draft corpus is available to the class.

## Deep learning for text: Logistics

- Lots of knobs to twiddle:
  - Optimization method (plain SGD, AdaGrad, ...)
  - Dimensionality
  - Initialization, regularization
  - Type of layer function/nonlinearity
  - Train/test split

...

- Good references for general NN methods (though 'standard' methods change often):
  - An incomplete book from the Bengio lab: <a href="http://www.iro.umontreal.ca/~bengioy/dlbook/">http://www.iro.umontreal.ca/~bengioy/dlbook/</a>
  - Coursera lectures from Geoff Hinton: <u>https://www.coursera.org/course/neuralnets</u>

## Deep learning for text: Logistics

- Typical training times for models like the ones seen here: 4-48h
- No standard deep learning library yet can do everything you'll want for language.
  - CAFFE (Python), Theano (Python), Torch (Lua) all very strong for at least some model types.
  - Try my <u>MATLAB codebase</u> for an easy start with:
    - RNN, LSTM
    - TreeRNN, TreeRNTN, TreeLSTM

# **Thanks!**

More questions?

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